

Eleanor Selfridge-Field

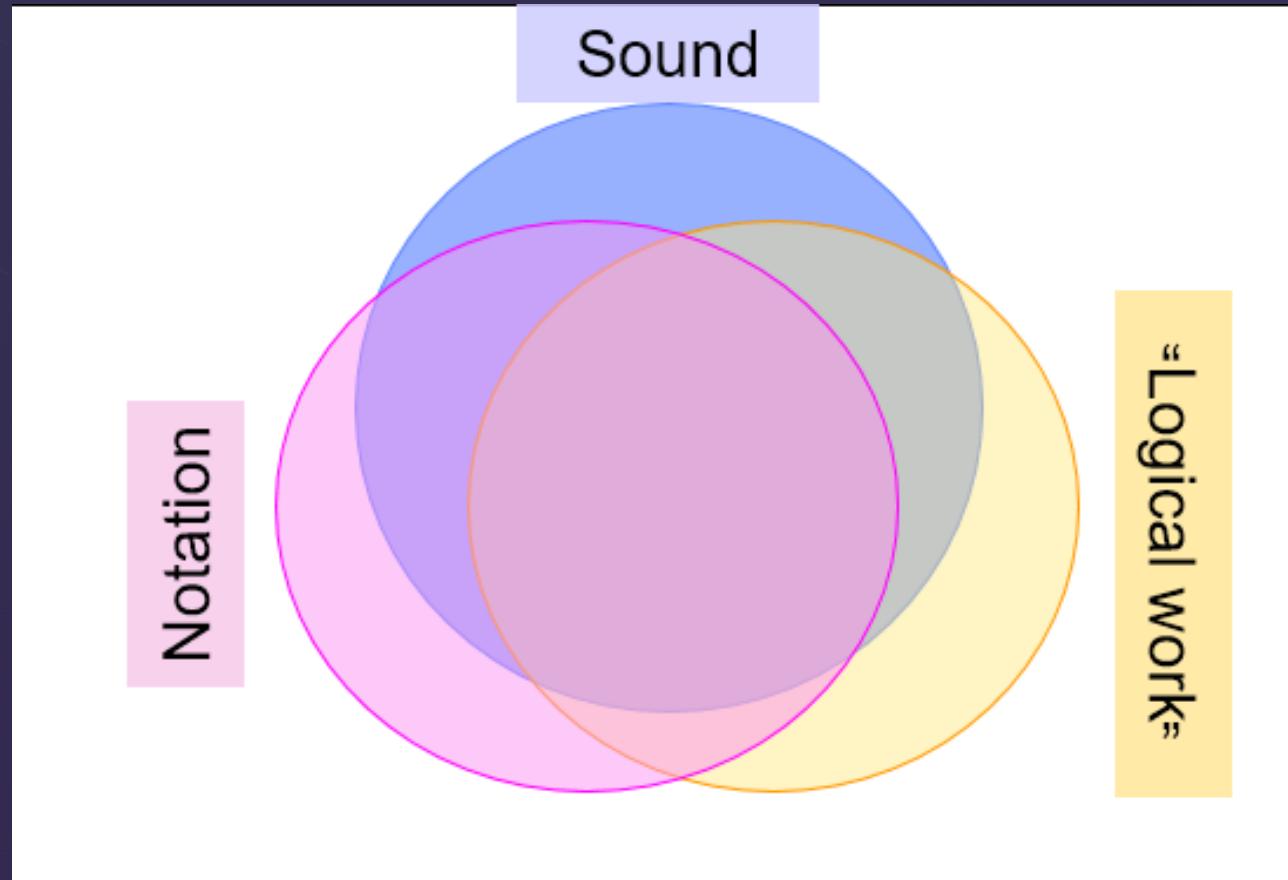
Stanford University

Ear, Mind, or Brain?

Reflections on Musical Similarity

Music as sound

Timbre
Tempo
Dynamics

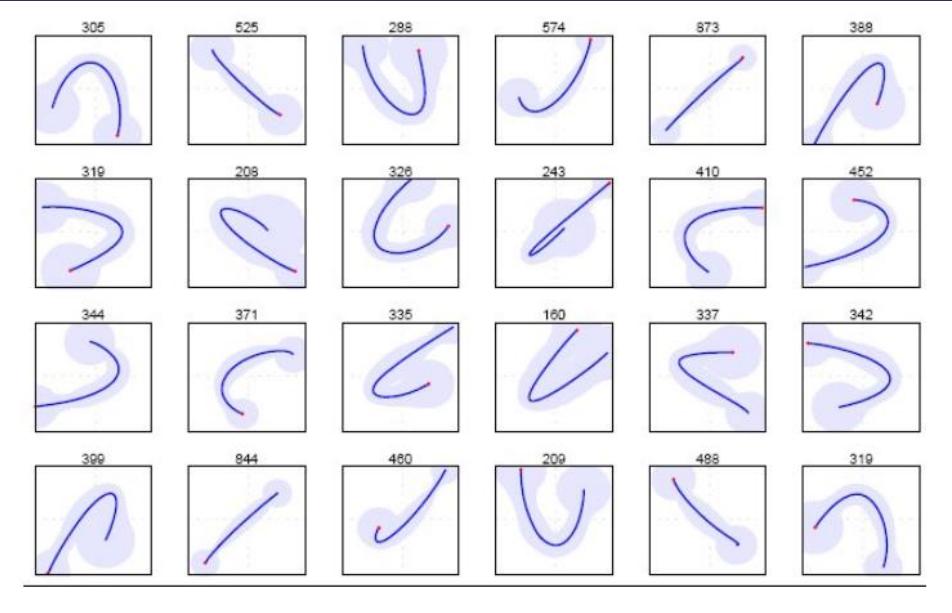


Human factors in assessments of similarity

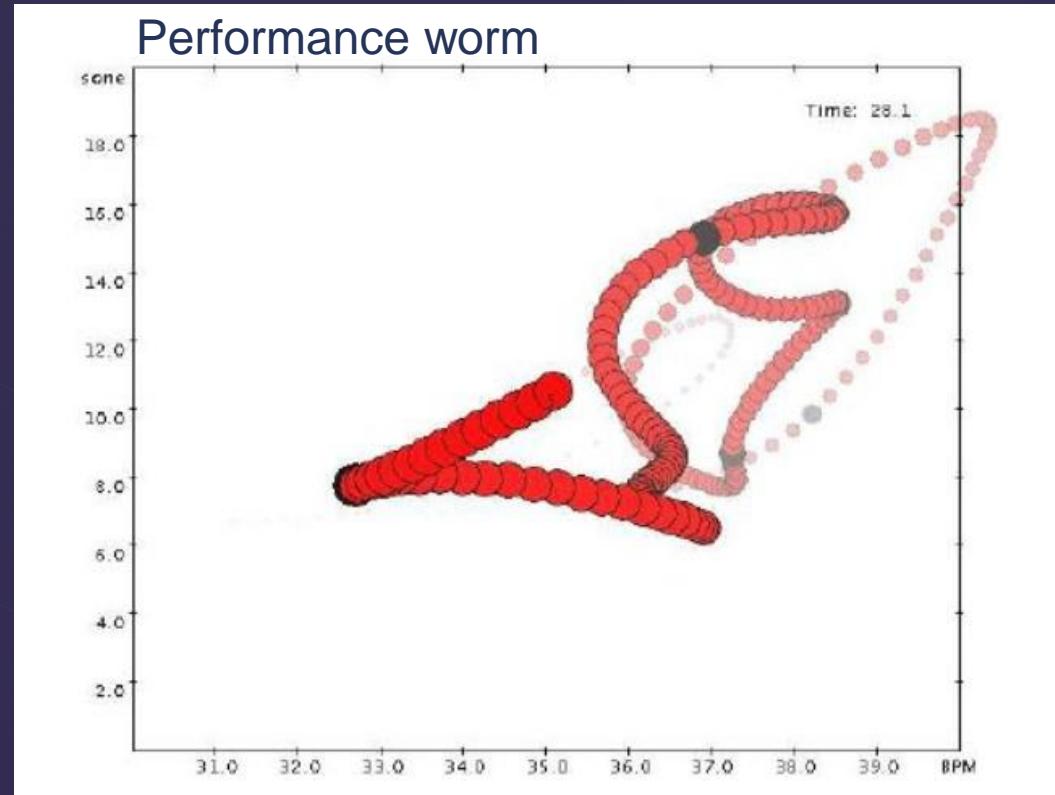
- **Artistic interpretations** in performance
- **Cognitive weights** of feature-based comparisons
- **Perceptual factors** in the experience of “similarity”
- **Cultural conditions**

What is music?

Cognitive aspects of musical similarity

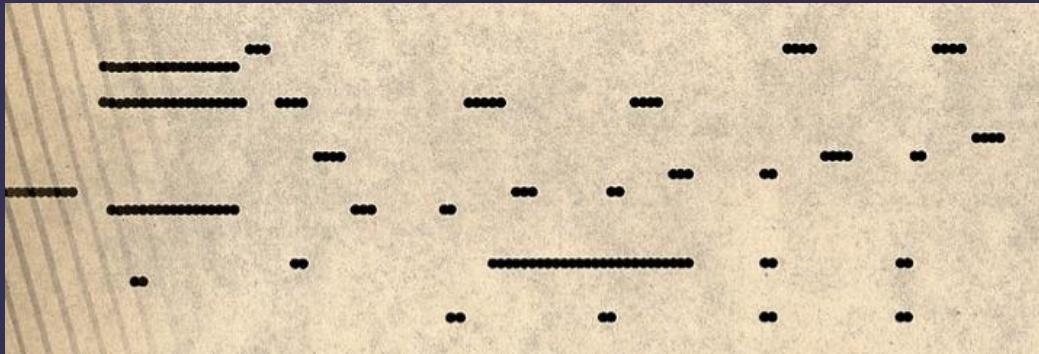


Beat-level tempo changes



Simon Dixon, Werner Goebel, Gerhard Widmer: Performance worms

Differences issue from several domains



Music as sound

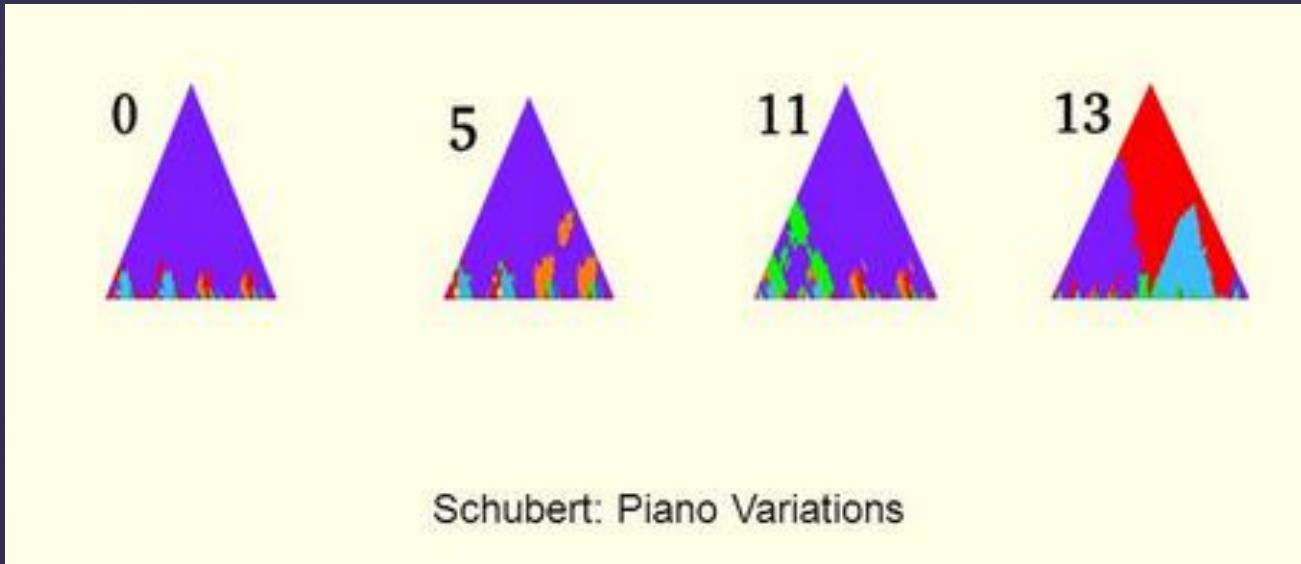
From SUPRA (Stanford U. Piano Roll Archive): supra.stanford.edu

Listening to performance differences (Pianola website)



“Five Foot Two”
Marg. Thomson, 1925
Superphone Label

Cognitive aspects of musical similarity



Craig Sapp: Notation-based extrapolation
of harmonic similarity

Social factors, cultural Interpretation

Cultural and social factors in musical similarity

- ▶ Dance of Mantua (17th c.) as Dvorak's “Moldau” theme (19th c.)



- ▶ As “Hatikva” (Israeli national anthem)



- We shall overcome
- Morehouse College Glee Club 2009
- [arr. Wendell P. Whalum]



<https://www.youtube.com/watch?v=Aor6-DkzBJ0>

Cultural distance

O Sanctissima (Latin hymn,
18th century)



Coro Città di Castelarano, dir.
Marco Giudorizzi, 2017

<https://www.youtube.com/watch?v=SGFcce-NfQ8>

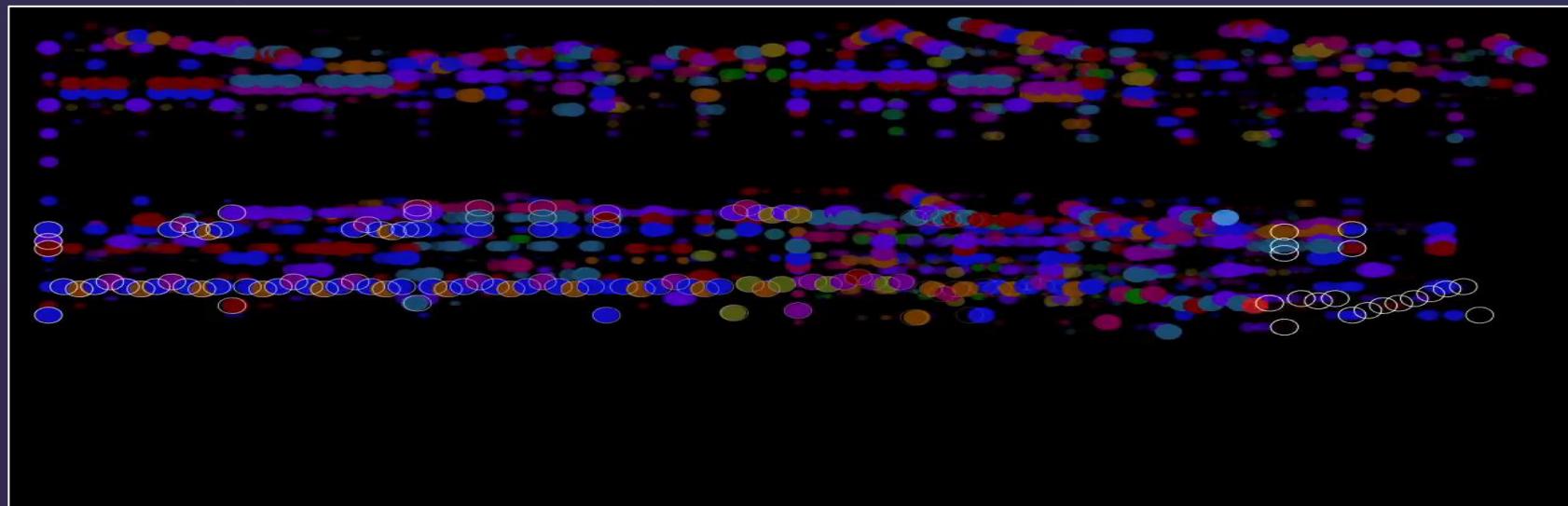
Perceptual Difference: Melodic elaboration in Mozart

Visual realizations by Stephen Malinowski, Music Animation Machine, after Mozart:
“Ah vous dirai-je Maman”, K. 265 (YouTube: Musam 2016)

Example 1:



Example 2:



Topic 2 of 2

Musical similarity in music-copyright infringement

Seminal cases

Arnstein vs. Porter (1946)

- establishes concept of **substantial musical similarity** (SMS)
- establishes idea of **lay listener** test

Gaye vs. Williams and Thicke (2013)

- Verdict on appeal—March 2018
- Provisionally **prohibits similarity of style** in protected work

Skidmore vs Zeppelin (2020) “Taurus” vs “Stairway to Heaven”

- Dispute concerns only introductory descending sequence

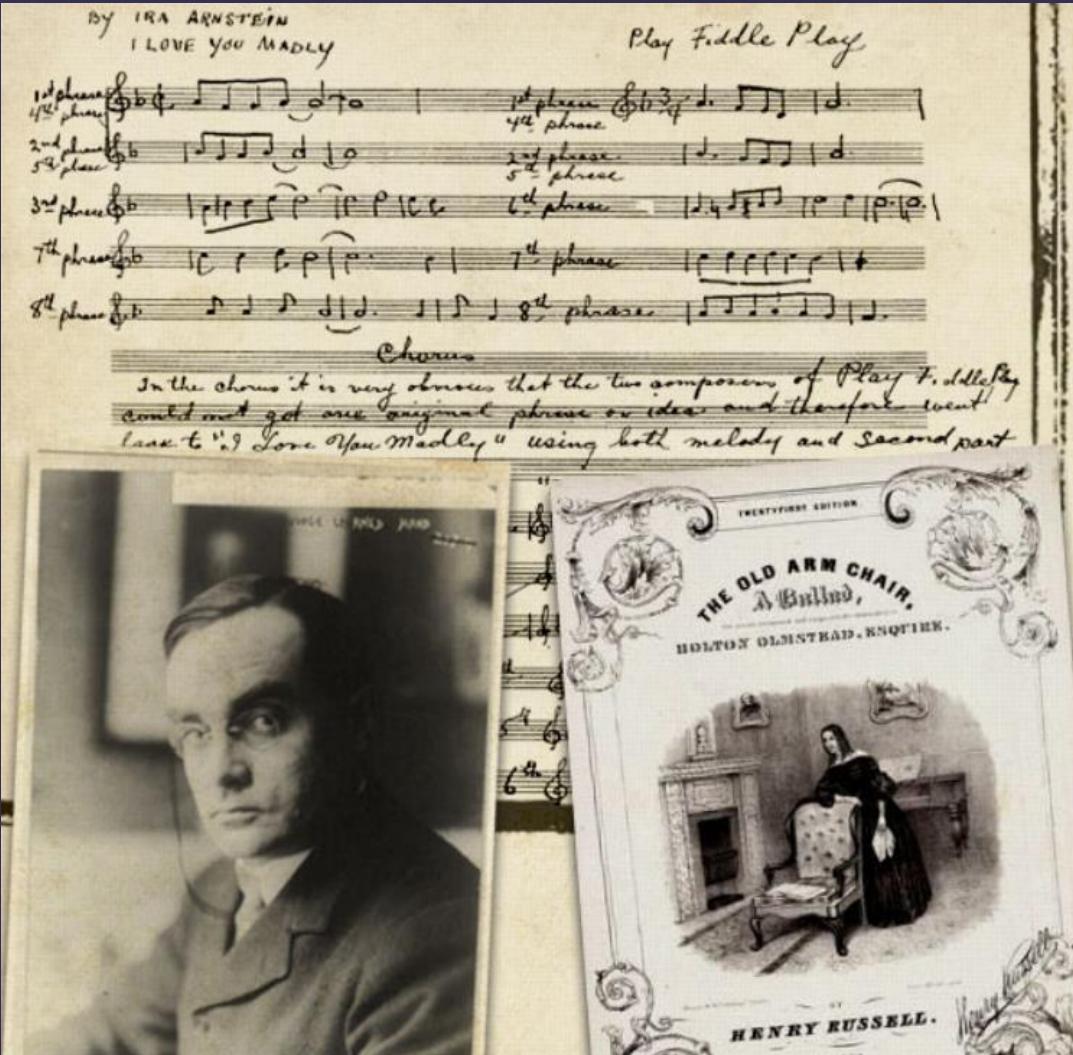


Ira Arnstein and the concept of substantial musical similarity

Arnstein vs. Porter (1946)

- Long series of cases (1940...) in which Arnstein was the plaintiff. (He always lost.)
- **1946 ruling by Jerome Frank** established two principles:
 - Similarity must be “**substantial**”
 - Likeness must be determined by “**lay listeners**”

Sardonic view of music copyright



COPYRIGHT LAW AND POPULAR MUSIC

Absent copyright, it is difficult to imagine how the creation of popular songs—short, simple permutations of a limited number of tones, easily reproducible ad infinitum with the readily available technologies of the human ear and voice—could be a remunerative enterprise.

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Similarity of which features?

1946: Notation base

Main parameters

- **Melody**
- **Harmony**
- **Rhythm**

2013: Audio/video base

Main parameters

- **Timbre**
- **Tempo**
- **Dynamics**

▼ Gaye (L) vs Williams, Thicke (R)
(2013; appeal, 2015; Gaye prevailed, 2018)

Estate of Marvin Gaye RE: "Got to Give it Up (1976/1977). This photo: Birmingham, UK 1976



Pharrell Williams and Robin Thicke (2013).



Melodic comparison (involves slipping positions)

- Timbre
- Tempo
- Dynamics

Note position,
Scale degrees

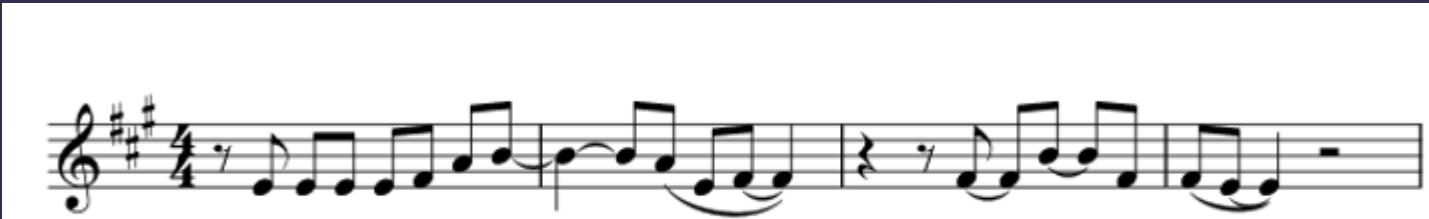


Fig. 1. Marvin Gaye, "Got to give it up," Bars 8-11.³⁹ ¶



Fig. 2. Pharrell Williams and Robin Thicke, "Blurred Lines," Bars 25-28. ¶

What is a substantial melody?

- Timbre
- Tempo
- Dynamics

Note position,
Scale degrees

A musical score in G major (two sharps) and common time. It consists of four measures of music. The first measure starts with a quarter note followed by eighth-note pairs. The second measure begins with a dotted half note followed by eighth-note pairs. The third measure starts with a quarter note followed by eighth-note pairs. The fourth measure starts with a quarter note followed by eighth-note pairs. A red vertical line with a downward-pointing arrow is positioned over the beginning of the third measure.

Fig. 1. Marvin Gaye, "Got to give it up," Bars 8-11.³⁹¶

A musical score in G major (two sharps) and common time. It consists of four measures of music. The first measure starts with a quarter note followed by eighth-note pairs. The second measure starts with a quarter note followed by eighth-note pairs. The third measure starts with a quarter note followed by eighth-note pairs. The fourth measure starts with a quarter note followed by eighth-note pairs. A red vertical line with a downward-pointing arrow is positioned over the beginning of the third measure.

Fig. 2. Pharrell Williams and Robin Thicke, "Blurred Lines," Bars 25-28.¶



Gaye estate vs Williams and Thicke; Williams et al vs Gaye estate*

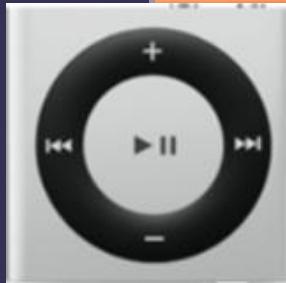
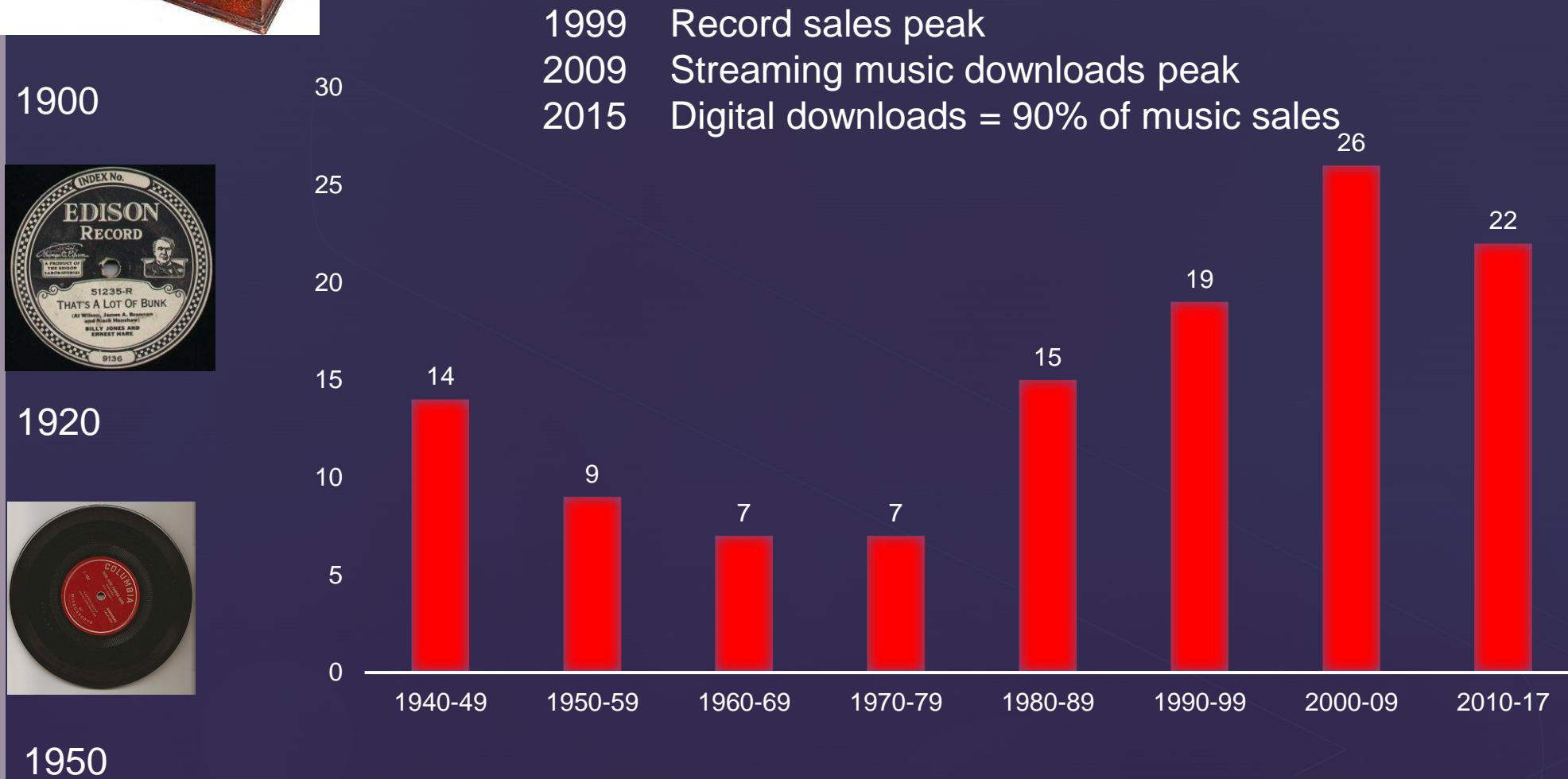
2013 (copyright), 2015 (suit), 2018 (appeal),

Standing judgment:

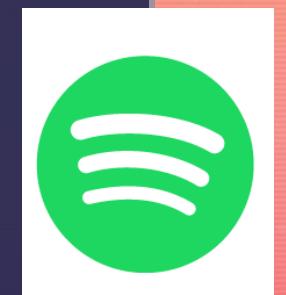
***Musical style is a protectable element**



Changing music technology as pivot



2000



2018



1950

▼ Controversial points (“Blurred Lines”)

Can a **musical style** be owned by one entity?

Should cases be argued on the basis of **registered copies** only?

See E. Selfridge-Field, “Substantial Musical Similarity in Sound and Notation”, *Colorado Journal of Law and Technology* (2018) in HeinOnline (legal aggregation) via Stanford logon

Should every “**style**” be exclusive to its own creator?

Improvisatory pastiche
(18th/20th centuries)

Ethan Uslan, 2012

(parody)

